



Are YOU a target for investment fraud?

- √ Age 48+
- √ Recent retiree
- √ Getting divorced
- √ Experienced a recent death of a loved one
- √ Expecting an inheritance or cash settlement
- √ Active church member OR social club member
- √ Have above-average knowledge of investments
- √ Attending free meal investment seminars
- √ Recent illness, natural disaster or financial setback
- √ Confident in investments

If you're a target, it's important to know that investment professionals and the investments they sell are required by law to be either *registered* with the Louisiana **O**ffice of **F**inancial **I**nstitutions (OFI) or *exempt* from registration with OFI. Verify with OFI *before* you invest!

Call OFI at **1-877-516-3653** (free call) **OR** **(225) 925-4512**

1. Call OFI to verify that both your investment professional and the potential investment are registered to be sold in Louisiana. This includes *out-of-state* solicitations.
2. Submit a written request to OFI for a **confidential background check** (i.e., *CRD Report*) **at no charge** on investment professionals registered with OFI, covering their:

- *Background*
- *Certifications*
- *Criminal History*
- *Employment History, including:*
Terminations, Disciplinary Actions & Customer Complaints
- *Pending Arbitrations*

For fastest service:

- Ask investment professionals for their personal *CRD Numbers* **OR** their legal names.
 - Email a confidential request to complaints@ofi.la.gov OR mail the request to the address below.
3. Ask about exemptions to registration with OFI and suspicious investments.

NO investment advice given or products sold!

Office of the Governor
Louisiana **O**ffice of **F**inancial **I**nstitutions (**OFI**)
8660 United Plaza Building, 2nd Floor
Baton Rouge, Louisiana 70809-7024

Visit [Investor Education](#) for free investment fraud information and resources.