



## Investor Assistance

Louisiana Office of Financial Institutions (OFI)

*Louisiana's Securities Regulator*

Call toll-free at **1-877-516-3653**

or locally at **225-925-4512**

- To check registrations of the promoter or the security itself, or
- To ask about Exemptions to registration, or
- To ask about Compliance issues

Call OFI and ask for Scott Jolly, Deputy Chief Examiner

**To request a free background check OFI (CRD Report) on a promoter registered with OFI:**

Submit your request in writing, either by Email to [complaints@ofi.la.gov](mailto:complaints@ofi.la.gov)

**OR**

Mail the hard copy to: Office of Financial Institutions  
8660 United Plaza Boulevard  
Second Floor  
Baton Rouge, LA 70809  
ATTN: CRD Reports

Note: Confidential requests for *CRD Reports* are never recorded as actual *complaints*. This is only the name of the mailbox.

**To discuss a fraud complaint for a *confidential* investigation by OFI:** Call OFI and ask for either Doug Buras, Deputy Chief Examiner, Stephen Cauble, Compliance Investigator OR Jack Migliore, Compliance Investigator