



Warning Signs Of Investment Fraud

- Company will pay any tax on interest earned
- Company will pay any surrender penalty incurred by the investor
- Company will pay the investor a “Finder’s Fee”, “Referral Fee”, or “Bonus” for recruiting new investors
- There is NO prospectus or written offering material
- No monthly account balance statement
- Monthly account balance statement is generated by the individual, rather than by the company where the money is invested
- Checks to open the investment are made payable to the individual, rather than to the company where the money is to be invested
- Wire transfers to open the investment are wired to the individual’s account, rather than the company’s account where the money is to be invested
- Sales person will not give specific information as to precisely where or how the money will be invested
- The company only has a cell phone, rather than a landline phone
- The company only has a post office box or website, rather than a physical address

Louisiana’s Office of Financial Institutions (OFI) cautions potential investors to thoroughly check out every investment opportunity. To obtain a free background check (i.e., CRD Report) on investment professionals registered with OFI to sell securities in Louisiana OR to report suspected fraud, please contact OFI at:

Call: (225) 925-4512 OR 1-877-516-3653

Fax: (225) 925-4511

Website: www.ofi.la.gov/SecuritiesInvestorEd.htm

Write: Office of Financial Institutions (OFI)
Securities Division
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Verify with OFI before you invest!